## Oxygen Barrier Coating Deposited by Novel Plasma-enhanced Chemical Vapor Deposition

Juan Jiang,<sup>1,2,3</sup> Maike Benter,<sup>1</sup> Rafael Taboryski,<sup>2</sup> Klaus Bechgaard<sup>3</sup>

<sup>1</sup>Nanon AS, Brøndby, Denmark

<sup>2</sup>Department of Micro and Nanotechnology, Technical University of Denmark – DTU, Denmark

<sup>3</sup>Department of Chemistry, University of Copenhagen, Denmark

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**ABSTRACT:** We report the use of a novel plasmaenhanced chemical vapor deposition chamber with coaxial electrode geometry for the  $SiO_x$  deposition. This novel plasma setup exploits the diffusion of electrons through the inner most electrode to the interior samples space as the major energy source. This configuration enables a gentle treatment of sensitive materials like low-density polyethylene foils and biodegradable materials.  $SiO_x$ coatings deposited in the novel setup were compared with other state of the art plasma coatings and were found to possess equally good or better barrier properties. The barrier effect of single-layer coatings deposited under different reaction conditions was studied. The coating thickness and the carbon content in the coatings were found to be the critical parameters for the barrier property. The novel barrier coating was applied on different polymeric materials, and it increased the barrier property of the modified low-density polyethylene, polyethylene terephthalate, and polylactide by 96.48%, 99.69%, and 99.25%, respectively. © 2009 Wiley Periodicals, Inc. J Appl Polym Sci 115: 2767–2772, 2010

**Key words:** barrier; coatings; gas permeation; plasma polymerization; polyethylene

### **INTRODUCTION**

Low-density polyethylene (LDPE) is the most widely used food packaging material due to its easy processing possibilities. However, for long shelf-life packaging, the usage of LDPE is limited due to its poor barrier property toward oxygen.<sup>1-4</sup> The permeability of LDPE film is normally  $\sim 2500\,\times\,10^{16}~\text{cm}^3$ cm/cm<sup>2</sup> s Pa. Good oxygen-barrier materials like polyamide 6 (PA6), poly(vinylchloride), and poly (ethylene terephthalate) (PET) have permeability typically around 5–30  $\times$  10<sup>16</sup> cm<sup>3</sup> cm/cm<sup>2</sup> s Pa. Other high oxygen-barrier materials like poly(vinylidene chloride) and poly(ethylene vinyl alcohol) (EVOH) have permeability ranging from 0.05 to 7  $\times 10^{16}$  cm<sup>3</sup> cm/cm<sup>2</sup>s Pa.<sup>5</sup> However, some of these good and high oxygen-barrier materials like EVOH and PA have limited water vapor barrier properties compared with LDPE. Other materials like poly(vinylchloride) and poly(vinylidene chloride) contain chloride. In comparison, LDPE is an economical and environmental friendly material; therefore, different techniques have been applied to improve the oxygen barrier properties of the LDPE films in the interest of expending its packaging applications.<sup>6,7</sup> Various

techniques, including producing films by co-extrusion and blend blow-moulding high oxygen-barrier material with LDPE,<sup>8-11</sup> layered films with high barrier materials,<sup>5,12</sup> and surface depositions with high barrier coatings like metallization and silicon oxide (SiO<sub>x</sub>) coating.<sup>13,14</sup> However, surface modification with high-barrier coatings generally provide higher barrier properties than other methods.<sup>5</sup>

There have been concerns about the environmental and health issues with the metallization and wet coating process. Plasma techniques were then introduced for the application of coatings due to their clean and solvent-free processes. Plasma-enhanced chemical vapor deposition (PECVD) of  $SiO_x$  films on polymers has been studied as barrier coatings in the past few decades.<sup>15–17</sup> SiO<sub>x</sub> films have excellent barrier properties toward oxygen, water vapor, and aromatic gases, high transparency and microwave ability.<sup>18–24</sup> However, most of the studies were carried out on polymer substrates with higher glass transition temperatures, like PET or polyethylenenaphthalate.<sup>25–27</sup> The lack of applications of  $SiO_x$ coating on semicrystalline polymers like LDPE is due to the stress yield between the coating and the substrate.<sup>28–30</sup>

The rigid nature of  $SiO_x$  barrier films often leads to cracking and adhesion problems, and therefore affects the barrier properties of the modified foils. The mechanical integrity of the composition

Correspondence to: J. Jiang (asininefish@hotmail.com).

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structure depends on the coating defects, cohesive strength, internal stress state, interfacial interaction, and adhesion to the substrates. The thickness of a  $SiO_x$  barrier coating on polymeric materials typically ranges from 10 to 100 nm.<sup>5,31–35</sup>

The Airco Coating Technology has been one of the early investigators on improving barrier properties of polyethylene foil and reported 28 times barrier improvement in early 90s.<sup>23</sup> Recently, researchers reported a 40% decrease or 18.6 times reduction in the oxygen transmission rate (OTR) of LDPE foil by PECVD of  $SiO_x$  coating.<sup>36,37</sup> The improvement of these treatment are however still limited and cannot be used as good barrier coatings. The major problem with plasma deposition on LDPE is that the material is sensitive to high temperature, UV light, and ion bombardment.

Here, we present a novel plasma system to deposit  $SiO_x$  coating on LDPE. The electrons in this plasma system have lower energy than in radio frequency system; therefore, it allows a better preservation of the LDPE during the deposition. The hypothesis for the plasma generation in this setup is that the major acceleration of the electrons occurs inbetween the electrodes, where it has the highest intensity and energy. The electrons used for treating the substrates are diffused through the mesh into the deposition area to ionize molecules or atoms. Within the large volume of the inner electrode, the electrons and ions take less effect of the voltage of the electric field; therefore, the discharge has less ion bombardment on the substrate and more homogenous.<sup>38</sup> Thus, we are expecting better barrier performance from the SiO<sub>r</sub> coating deposited in this system. Because of the increasing interest in biodegradable material for packaging applications, we have also deposited the barrier coatings on polylactide (PLA) foils.

### **EXPERIMENTAL**

### Materials

# Hexamethyldisiloxane (98%) was used as received as precursors (ABCR, Germany). Oxygen (O<sub>2</sub>) and argon (Ar) (99.9%) were used as carrier gas (Air Liquid, Denmark). The LDPE foil, 26 $\mu$ m, was provided by Ulfoss Plastic, Denmark. The 12- $\mu$ m thick PET, 12- $\mu$ m commercial PET + AlO<sub>x</sub>, and 12- $\mu$ m commercial PET + SiO<sub>x</sub> foils were all from Danapak, Denmark.

The 110-µm PLA foils were obtained from a development project for biodegradable packaging materials at RISØ-DTU, Denmark.

The 90-µm commercial seven-layered barrier foils were made of polypropylene, polyethylene, PA, and EVOH and was from Sanko, Turkey.



Figure 1 Conceptual drawing of plasma setup.

### Low-pressure PECVD treatment

The deposition setup consisted of a glass bell jar with two concentric cylinder stainless steel electrodes, as shown in Figure 1. The outer electrode was made of a stainless steel sheet with diameter 23 cm, and a concentric inner electrode with diameter 20 cm was made of stainless steel mesh with a square grid size of 5 mm. To generate a plasma, both electrodes were powered by an alternating current power supply (Vetaphone 20–40 kHz, output power 50–500 W). There was a 180 degree phase shift between the power inputs to the two electrodes. No extra heating or cooling units were placed inside the reaction chamber.

The deposition of the  $\text{SiO}_x$  coating was carried out at a constant precursor flow 4 mL/min. The flow rates of the carrier gases O<sub>2</sub> were varied from 5 to 20 mL/min. The reaction pressure, controlled by a valve between the chamber and the pump, was varied between 10 and 40 Pa. The reaction time was varied between 1 and 5 min to keep the coating thickness below 100 nm.

The  $SiO_x$  coating was deposited on LDPE foils under different reaction conditions: various monomers to oxygen ratios, deposition times, pressures,

TABLE IComparison of Permeability of Treatedand Untreated Foils					
	Permeat $(\times 10^{-16} \text{ cm}^3 \text{ cm}^3)$	Permeability $(\times 10^{-16} \text{ cm}^3 \text{ cm/cm}^2 \text{s Pa})$			
Materials	Without SiO <sub>x</sub> coating	With novel SiO <sub>x</sub> coating			
Danapak PET Ulfoss LDPE 12,821 PLA	$\begin{array}{c} 12.84  \pm  0.64 \\ 1496.69  \pm  74.84 \\ 162.10  \pm  18.85 \end{array}$	$\begin{array}{c} 0.04 \pm 0.01 \\ 52.64 \pm 3.60 \\ 1.21 \pm 0.21 \end{array}$			

and deposition powers. The optimal reaction conditions were obtained with a ratio of monomer to oxygen flow at ~ 1 : 4, reaction pressure at 10 Pa, power at 80 W, and reaction time of 3 min. The coating deposited under this condition is referred to as the novel  $\text{SiO}_x$  coating throughout this article. The thickness of the novel  $\text{SiO}_x$  coating is around 40 nm. Three types of polymeric foils were tested with and without the novel  $\text{SiO}_x$  coating: the PET, LDPE, and PLA.

The substrates, LDPE, PET, and PLA foils were cut into  $12 \times 12$  cm<sup>2</sup> and placed perpendicularly to the outlet of the gas flow. The distance between the gas outlet and the substrate was kept at around 3 cm.

The SiO<sub>x</sub> coating was deposited on LDPE foils under different reaction conditions. Three major serials of reaction conditions have been tested: different reaction pressures, different reaction times, and different reaction powers. Samples from each reaction condition were then tested 3 to 4 times for the oxygen gas transmission rate. The relation between the OTR and the reaction condition was then plotted in the figures with corresponding error bars.

### Permeability measurement

The OTRs of the modified foils were measured on a permeability tester (BTY-B1P, Labthink). The test area of the foil was 9 cm in diameter. The instrument was made according to the differential pressure method (ISO 2556). The systematic uncertainty was 5%. The permeability of the materials was then calculated as shown in eq. (1), based on the thickness and the measured OTR.

$$P = OTR \cdot d \tag{1}$$

where *P* is the permeability coefficient (cm<sup>3</sup> cm/cm<sup>2</sup> s Pa), OTR is the oxygen transmission rate (cm<sup>3</sup>/m<sup>2</sup> 24 h 1 atm), *d* is the thickness of specimen ( $\mu$ m). The right hand side of the equation should be multiplied by a numerical unit conversion factor of 1.1423 × 10<sup>-18</sup> m<sup>2</sup> 24 hr 1 atm/cm  $\mu$ m s Pa to express the *P*, OTR, and *d* in the commonly used units shown above.

### X-ray photoelectron spectroscopy analysis

The X-ray photoelectron spectroscopy measurements were made on a Thermo K-alpha with Al monochromatic X-rays (12 kV, 20 mA, the spot size of 400  $\times$  400  $\mu$ m<sup>2</sup>) source. The analyzer was placed at a 90° take off angle, yielding a sampling depth of approxmately 8 nm. Broad spectrum survey scans (binding energy range -20–1300 eV) were made at low resolution (pass energy, 200 eV).

### **RESULTS AND DISCUSSION**

### The performance of the novel SiO<sub>x</sub> coating

The OTR was measured on the three  $SiO_x$  coating modified foils, and the permeability was calculated for the respective thickness of the foil and is listed in Table I in the column to the left. The permeability of modified foils is listed in Table I in the column to the right.

The permeability of the modified foils was dramatically reduced by the novel  $SiO_x$  coatings. The improvement of the barrier properties was 99.69, 96.48, and 99.25% on modified PET, LDPE, and PLA foils, respectively.

To compare the material properties according to the same standard, the permeability of the commercially available foils were measured on the same gas transmission instrument. The permeability of the foils and the corresponding thickness are listed in Table II. The permeability of the novel  $SiO_x$  coatingmodified PET foils is competitive to the commercially available  $SiO_x$ -coated PET foils.

TABLE II Comparison of Permeability on Commercial Barrier Foils and the Novel SiO<sub>x</sub> Modified Foils

Materials	Thickness (µm)	Permeability (× $10^{-16}$ cm <sup>3</sup> cm/ cm <sup>2</sup> s Pa)
7 layers barrier foil from		
Sanko: PP, PE, PA,		
EVOH	90	$44.82\pm2.24$
Danapak commercial		
$PET + AlO_x$	12	$0.17 \pm 0.009$
Danapak commercial		
$PET + SiO_x$	12	$0.03\pm0.007$
Danapak PET + novel		
$SiO_x$ coating	12	$0.04\pm0.01$
$PLA + novel SiO_x$		
coating	110	$1.21\pm0.19$
Ulfoss LDPE 12,821		
+ novel $SiO_x$ coating	26	$52.64\pm3.60$

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**Figure 2** OTR vs.  $SiO_x$  coating thickness.

As for modified LDPE foils, the permeability is around 52  $\times$  10<sup>16</sup> cm<sup>3</sup> cm/cm<sup>2</sup> s Pa, which is not competitive with modified PET foils at 0.03  $\times$  10<sup>16</sup> cm<sup>3</sup> cm/cm<sup>2</sup>s Pa. However, in comparison with seven-layered commercial barrier foils, the modified LDPE foils reached same level of barrier property with only one third of the material thickness. PECVD in many aspects is also superior to the layering technique. It only requires one process and there are no problems with delaminating as is the case for multilayered materials. We can also see that the PET foils modified with novel  $SiO_x$  reaches the same barrier property level as the commercial  $SiO_x$ -coatingmodified PET foils. Furthermore, the permeability of the novel  $SiO_x$ -coating-modified PLA foils has also reached the high barrier property area at around  $1 \times 10^{16} \text{ cm}^3 \text{ cm/cm}^2 \text{s Pa.}$ 

# The OTRs of the LDPE modified with $SiO_x$ coating at different conditions

In a previous study of this plasma setup,<sup>38</sup> we have found a linear relationship between the  $SiO_x$  coating thickness and the deposition time, within a reaction time of 20 min. The effect of deposition time on the

TABLE III The Thickness and the Element Composition in Reaction Pressure Series

Reaction pressure (Pa)	10	15	20	40
OTRs $(cm^3/m^2 1atm 24 h)$	157.45	267.25	405.59	841.85
Thickness (nm)	42	45	23	5
Carbon content in $SiO_x$ (%)	8.83	15.02	15.62	11.14
Si content in $SiO_x$ (%)	34.91	32.82	32.39	31.72
O content in $SiO_x$ (%)	56.26	52.17	51.99	57.14

OTR is directly proportional to the effect of the coating thickness. Therefore, we converted the deposition time to coating thickness and plotted its relation to the OTR in Figure 2.

The growth rate of the coating at the reaction condition was 14 nm/min, the corresponding thickness in the Figure 2 is 14, 28, 42, 56, and 70 nm. When the coating thickness was less than 40 nm, the OTRs of modified foils were not affected. When the coating thickness was bigger than 40 nm, the OTR increased with the increasing thickness. The thickness effect on the OTR matches the literature findings on the PET film as well. The thinner the coating is, the more flexible it is with less cracks. Thicker coating results in more cracking and consequently reduces the barrier effect of the SiO<sub>x</sub> coating.

A similar phenomenon was seen in the series with reaction pressure. Figure 3 shows the relation between the OTR and reaction pressure. The increasing reaction pressures caused nearly linear increase in the OTR. The reaction pressure effect on the OTR is a combination of coating thickness and carbon content in the coating. The reaction pressure dependence on the thickness and the carbon content are listed in Table III.

Although the thickness of coating at 10 and 15 Pa was around 40 nm, the OTR at 15 Pa was much higher due to the high carbon content. However, coating at 20 Pa has the similar carbon content as that at 15 Pa, the OTR increased because the thickness of the coating has decreased to 24 nm. For



Figure 3 OTR vs. deposition pressure.



Figure 4 OTR vs. different oxygen content.

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The Thickness and Carbon Content from Different Oxygen Content Series							
Oxygen content (%)	55.5	71.4	78.9	83.3			
OTRs ( $cm^3/m^2$ 1atm 24 h)	$185.78 \pm 4.90$	$216.65\pm 6.38$	$136.47 \pm 13.69$	$333.26 \pm 15.04$			
Thickness (nm)	50	45	42	36			
Carbon content in $SiO_x$ (%)	7.15	5.10	8.83	6.91			
Si content in $SiO_x$ (%)	35.37	36.23	34.91	35.95			
O content in $SiO_x$ (%)	57.48	58.67	56.26	57.14			

 TABLE IV

 The Thickness and Carbon Content from Different Oxygen Content Series

optimal barrier coating, the thickness of coating should be around 40 nm and the carbon content should be around less than 15%.

To further approve the effect of the carbon content on the OTR, a series of samples with  $SiO_x$  coatings deposited with different oxygen content in the gas mixtures were made. The oxygen content in the gas mixture was ranging from 55.5, 71.4, 78.9, to 83.3%. Figure 4 shows the relation between OTR and the oxygen content. In Table IV, the carbon content of the coating for the X-ray photoelectron spectroscopy analysis is listed.

We can see from Figure 4 that the oxygen content does not significantly affect the barrier performance of the  $SiO_x$  coating, especially when the oxygen content is below 80%. The coating thicknesses from this series were similar and around 40 nm, and the carbon content in the coating were all below 9%.

When the oxygen content went above 83.3%, the OTR observed experimentally was higher although the coating was at optimal condition. However, the high OTR was not directly related to the barrier performance of the  $SiO_x$  itself. The modified samples were observed to have flaking of coating when applying force, which indicated poor adhesion of the coating to the substrate. This was caused by the high oxygen content in the gas mixture, which resulted in a high etching rate at the beginning of the reaction. Although in theory, high oxygen rate should reduce the carbon content in  $SiO_x$  coatings and thereby increase the barrier property of the coating, high oxygen content (>83.3%) is not applicable here due to the etching of the LDPE substrate.

### CONCLUSIONS

A novel  $SiO_x$  coating process in a low-frequency diffusion plasma system was tested. The tested coating process resulted in good adhesion to the substrate. We found that for a coating thickness of roughly 40 nm, the optimal carbon content in the coating should be less than 9%.

The improvement of the barrier property of the modified LDPE foils was 96.48%, which is better than the recently reported 40% decrease or 18.6 times decrease in the OTR.<sup>36,37</sup> However, the permeability of the modified LDPE was 52.64  $\pm$  3.60  $\times$  10<sup>-16</sup> cm<sup>3</sup> cm/cm<sup>2</sup> s Pa, which is still not suffi-

cient for the food packaging applications. The novel  $SiO_x$  barrier coating could be deposited on various polymeric foils including plasma sensitive materials, which improves the barrier performance of the modified PET and PLA by 99.69 and 99.25%, respectively. The coating was found to be comparable with the current commercially available  $SiO_x$  barrier coatings on PET foils. The limitation of the barrier performance on the LDPE foils was due to the slip and anti-block agent added in the LDPE, which leads to more defects in the  $SiO_x$  coating.<sup>39</sup> The barrier performance could be improved by cleaning the substrate, yet this was not practical for the industrial applications.<sup>40</sup>

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